EXPORT CONTROLS: WHERE NATIONAL SECURITY MEETS ACADEMIA

Following proper export control procedures is an important part of national security and it’s the law. But how do we keep track of all the regulations?

There are many different types of research at the university, many different ways items can be exported, and many countries where exports of certain items are illegal.

- Defense-related research is limited to citizens and permanent residents of the U.S.
- Many countries chase technological secrets from the U.S.

Basic or “fundamental research” is open to any nationality. Classified work requires a security clearance and is considered “export controlled”. But it can be tough to tell the difference. A couple of examples of potential export violations:

- Bringing restricted documents to certain foreign countries.
- Shipping many items to certain foreign countries without a license from the U.S. Treasury Department.

So what do you do?

Office of Sponsored Projects
512-471-6424
osp@austin.utexas.edu

UT’s Export Control Website
http://www.utexas.edu/research/osp/export_control/index.html

Compliance training
www.utexas.edu/compliance/training

Compliance and ethics hotline
Reportlineweb.com/utaustin
877-507-7231

UT Police department
www.utexas.edu/police
512-471-4441

Internal Audits
www.utexas.edu/admin/audit
512-471-7117

David Ivey
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osp@austin.utexas.edu
512-475-7963
All University employees are expected to comply with export control laws and regulations.

KEEPING OUR COUNTRY SAFE

WHY THIS MATTERS
• NATIONAL SECURITY.
• PREVENT THE SPREAD OF WEAPONS OF MASS DESTRUCTION.
• COMPETITIVE TRADE
• FINES UP TO $500,000.
• POSSIBLE FEDERAL FELONY CHARGES, INCLUDING PRISON.

The United States has numerous laws and regulations that govern the export of goods, software, and technology. It is the policy of UT Austin to comply with those laws and regulations.

What is an export?

PHYSICALLY SENDING OR TAKING SOMETHING OUT OF THE UNITED STATES
• Equipment for a research project
• Restricted documents in your luggage on foreign travel (hand-carry or checked, paper or electronic)
• Laptops or GPS
• Technical information or software via email, text, instant message, fax, mail, or delivery service (FedEx, UPS, etc.)
• Doing work using technical knowledge gained in the U.S.
• Discussing/disclosing technical data while in the U.S. with a foreign citizen.

What is fundamental research?

BASIC AND APPLIED RESEARCH IN SCIENCE AND ENGINEERING
• No restrictions on publication or dissemination in the scientific community
• No restrictions on the use of foreign citizens
• No national security controls or restrictions

EXCEPTION
Information created during or as a result of fundamental research in science and engineering is not subject to U.S. export controls.

EXPORTS: A CONCERN FOR EMPLOYEES AND STUDENTS
Exports can occur through a variety of means, including shipping; oral communications; written communications (including emails); and visual inspections of any technology, software or technical data to any non-U.S. citizen.

OSP WEBSITE
Check the OSP export controls website for more information on this topic: www.utexas.edu/research/osp

Questions regarding export controls can be addressed to osp@austin.utexas.edu
Optional training modules can be found in your compliance training "profile". Look for SP 700 and SP 701 in "Optional Modules"